

# Department of Commerce, Community, and Economic Development

Alcohol and Marijuana Control Office

550 West 7<sup>th</sup> Avenue, Suite 1600 Anchorage, AK 99501 Main: 907.269.0350

## **MEMORANDUM**

TO: Alcoholic Beverage Control Board DATE: November 12, 2024

FROM: Sonya Irwin, Licensing Supervisor RE: 1034 The Ardvark, 3<sup>rd</sup> Waiver of

Operations

Applicable statute: AS 04.11.330(a)(3). An application requesting renewal of a license or endorsement shall be denied if the applicant has not operated the licensed premises for at least 240 hours during each of the two preceding calendar years, unless the board determines that the licensed premises are under construction or cannot be operated through no fault of the applicant;...

Applicable regulation: 3 AAC 305.120 Waiver of annual operating requirement and minimum operating requirements. (a) Except as provided in this section, the board will deny an application for renewal of a license or a license with one or more endorsements if the licensed premises were not operated for the time required under AS 04.11.330(a)(3) or (d).

- (b) A licensee may submit a waiver application to the board to request a waiver of the operating requirement in AS 04.11.330 (a)(3) or (d). Under AS 04.11.330(a)(3), the board will determine whether, through no fault of the licensee or because the premises are under construction, the licensed premises could not be operated for the required time during the preceding calendar year.
- (c) A waiver application for a calendar year must be made in writing to the board and must be accompanied by the non-refundable application fee of (1) an amount equal to one-half the applicable biennial license fee if a waiver application was not made for the previous year; or (2) double the amount of the fee paid for the previous waiver application.
- (d) A waiver application must include a statement from the licensee explaining why the licensed premises was not in compliance with <u>AS 04.11.330(a)(3)</u> or (d). The licensee must provide a copy of the waiver application to any local governing body with jurisdiction over the license and licensed premises.
- (e) The board may deny a third or subsequent, consecutive application for waiver (1) unless the licensee clearly shows that the licensed premises were not operated, because the premises were condemned or substantially destroyed by any cause; or (2) the licensee holds a common carrier dispensary license and is a boat weighing over 1,000 tons;
- (f) Absent circumstances to the contrary, the board will deny a third or subsequent, consecutive application for waiver in the event of condemnation or destruction of the premises if the premises

identified on an applicant's license are not leased or owned by the licensee Additionally, a third or subsequent consecutive application for waiver that does not identify a licensed premises location will be denied.

- (g) The board may impose conditions along with the approval of a waiver application.
- (h) If a waiver application is denied, an application for license renewal for the succeeding license period will be denied by the board under AS 04.11.330(a)(3).
- (i) In addition to the application fee under (c) of this section, the applicant shall pay \$1,000 for an application that is received too late for board consideration at its last meeting of the calendar year for which the waiver is requested.
- (j) In the event of the death of a licensee, destruction of the premises, or comparable circumstances showing extraordinary hardship, the board may waive the fees required under (c) and (i) of this section.
- (k) If a license is exercised only to satisfy the minimum operating requirement under AS 04.11.330(a)(3) or 3 AAC 305.110, a licensee shall operate in a similar fashion to other licensed premises of the same type by meeting the following operating requirements as appropriate for the license type: (1) provide signage of sufficient size and visibility to show that the premises is open for business, including the business name and hours of operation; (2) offer a variety of brewed beverages, wines, and distilled spirits for sale at the licensed premises, as appropriate to the type of license, (3) for a licensed package store premises, visibly display the alcoholic beverages stock; (4) for a beverage dispensary licensed premises, provide seating for at least one-half of the maximum number allowed by the occupancy permit; (5) comply with all state or municipal health, fire, and zoning laws or ordinances required for the operation of business; (6) maintain a record of all purchases of alcoholic beverages for resale on the licensed premises; and (7) record sales with a cash register or point of sale system that retains a record of transactions.
- (1) The licensee has the burden of proof to show that the licensed premises were operated for the minimum required period of time and met the operating requirements under (k) of this section. The licensee may provide receipts, invoices, photographs, permits, timecards, and other records to meet the burden of proof. If the licensee fails to provide proof that one or more of the operating requirements was met, the board may consider additional documentation provided by the licensee to determine whether the licensee has met the burden of proof.
- (m) If a new license is issued between November 20 and December 31, the licensee is exempt from filing a waiver of annual operating requirement for that year.

Background: This is the third waiver requested for this license. Licensee states difficulties with landlord and construction.

**Attachment**: Memo, 3<sup>rd</sup> Waiver Application for 2024, 2<sup>nd</sup> Waiver Application for 2023 (approved administratively), 1<sup>st</sup> Waiver Application for 2022 (approved administratively).



Alaska Alcoholic Beverage Control Board

Alcohol and Marijuana Control Office 550 W 7<sup>th</sup> Avenue, Suite 1600 Anchorage, AK 99501

alcohol.licensing@alaska.gov

https://www.commerce.alaska.gov/web/amco Phone: 907.269,0350

# Form AB-29: Waiver of Operation Application

### Why is this form needed?

This form is the means by which a licensee may request that the Alcoholic Beverage Control (ABC) Board waive the operating requirement of AS 04.11.330(a)(3) or (d). If a recreational site license has not been operated at least once in a calendar year, or if a license of any other type has not been operated for at least 240 hours in each calendar year, then a complete copy of this form and the corresponding fees must be submitted for that calendar year, per 3 AAC 304.170.

This application must be accompanied by a non-refundable waiver application fee of:

- for a 1<sup>st</sup> request, an amount equal to ½ the applicable biennial license fee; or
- for a 2<sup>nd</sup> or subsequent request, double the amount of the fee paid for the previous waiver application.

The ABC Board will determine whether, through no fault of the licensee or because the premises are under construction, the licensed premises count not be operated for the required time during the calendar year. The ABC Board may impose conditions along with the approval of an application for waiver, and it may deny a third or subsequent application for waiver. If an application for waiver is denied, an application for license renewal for the succeeding license period will be denied by the Board. In addition to the waiver application fee, the applicant must pay a late fee of \$1,000 for an application that is received too late for Board consideration at its meeting before November 30 of the year for which the waiver is requested. Please check AMCO's website for meeting agenda deadlines.

Please note that a licensee must submit a separate completed copy of this form and pay a separate corresponding fee for <u>each license</u> and for <u>each calendar year</u> during which a license was not operated in compliance with AS 04.11.330.

### Section 1 - Establishment Information

inter information for the lice	nse that has not been operated for the time require	u unuel A3 0	+.11.330.	_		
Licensee:	Claude Sherman & Gena Marie Weinberger-Caruthers	License Number: 10		1034	1034	
License Type:	Beverage Dispensary					
DBA:	The Aardvark					
Premises Address:	P. O. Box 520015					
City:	Big Lake	State:	Alaska	ZIP:	99652	
Local Governing Body:	Matanuska-Susitna Borough					

Section 2 – Request Number and Calendar Year						
1 <sup>st</sup> Request	2 <sup>nd</sup> Request	<b>✓</b> 3 <sup>rd</sup> Request	Other			
Request for Calendar	Year 2024					
Form AR 201 (ray 2/4/202)				Dans 4 of 2		

[Form AB-29] (rev 3/1/2022)



Alcohol and Marijuana Control Office 550 W 7<sup>th</sup> Avenue, Suite 1600 Anchorage, AK 99501

alcohol.licensing@alaska.gov

https://www.commerce.alaska.gov/web/amco

Phone: 907.269.0350

# Alaska Alcoholic Beverage Control Board

# Form AB-29: Waiver of Operation Application

# Section 3 – Reason for Non-operation

Occion o monotonio	
Provide an explanation as to why the licensed premises were not operated:	
This has been an on going construction project that has just recently been surrendered. It is o intention to place this license in another location this year or to sell said license.	ur
Section 4 – Certifications  The following must be completed for establishments located within the boundaries of a local governing body:	Initials
Read the line below, and then sign your initials in the box to the right of the statement:	Initials
I certify that I will provide a true copy of this application to the local governing body listed on Page 1 of this form prior to ABC Board consideration of this application.	R
I hereby certify that I am the person herein named and subscribing to this application and that I have read the complete application, and I know the full content thereof. I declare that all of the information contained herein, and evidence or other documents submitted are true and correct. I understand that any falsification or misrepresentation of any item or response in this application, or any attachment, or documents to support this application, is sufficient grounds for denying or revoking a license/permit. I further understand that it is a Class A misdemeanor under Alaska Statute 11.56.210 to falsify an application and commit the crime of unsworn falsification.	*
CANDE SHERMAN Wereberge-Carothers Signature of licensee	

Office Use Only						
Waiver Application Fee:	\$5,000.00	Late Fee:	None	Transaction #:	100951750	

[Form AB-29] (rev 3/1/2022)



**AMCO** NOV 1 6 2023 Alcohol and Marijuana Control Office 550 W 7th Avenue, Suite 1600 Anchorage, AK 99501

alcohol.licensing@alaska.gov https://www.commerce.alaska.gov/web/amco

Phone: 907.269.0350

## **Alaska Alcoholic Beverage Control Board**

# Form AB-29: Waiver of Operation Application

### Why is this form needed?

This form is the means by which a licensee may request that the Alcoholic Beverage Control (ABC) Board waive the operating requirement of AS 04.11.330(a)(3) or (d). If a recreational site license has not been operated at least once in a calendar year, or if a license of any other type has not been operated for at least 240 hours in each calendar year, then a complete copy of this form and the corresponding fees must be submitted for that calendar year, per 3 AAC 304.170.

This application must be accompanied by a non-refundable waiver application fee of:

- for a 1<sup>st</sup> request, an amount equal to ½ the applicable biennial license fee; or
- for a 2<sup>nd</sup> or subsequent request, double the amount of the fee paid for the previous waiver application.

The ABC Board will determine whether, through no fault of the licensee or because the premises are under construction, the licensed premises count not be operated for the required time during the calendar year. The ABC Board may impose conditions along with the approval of an application for waiver, and it may deny a third or subsequent application for waiver. If an application for waiver is denied, an application for license renewal for the succeeding license period will be denied by the Board. In addition to the waiver application fee, the applicant must pay a late fee of \$1,000 for an application that is received too late for Board consideration at its meeting before November 30 of the year for which the waiver is requested. Please check AMCO's website for meeting agenda deadlines.

Please note that a licensee must submit a separate completed copy of this form and pay a separate corresponding fee for each license and for each calendar year during which a license was not operated in compliance with AS 04.11.330.

### Section 1 - Establishment Information Enter information for the license that has not been operated for the time required under AS 04.11.330. Licensee: 1039 Claude Sherman & Eugena Marie Weinberger-Caruthers License Number: **License Type:** Beverage Dispensary DBA: The Aardvark **Premises Address:** Off Premise Citv: State: Alaska ZIP: 99652 Big Lake **Local Governing Body:** Matanuska Susitna Borough Section 2 - Request Number and Calendar Year ✓ 2<sup>nd</sup> Request 1<sup>st</sup> Request 3<sup>rd</sup> Request Other Request for Calendar Year 2023



AMCO
NOV 16 2023
Alaska Alcoholic Beverage Control Board

Alcohol and Marijuana Control Office 550 W 7<sup>th</sup> Avenue, Suite 1600 Anchorage, AK 99501

alcohol.licensing@alaska.gov

https://www.commerce.alaska.gov/web/amco Phone: 907.269.0350

e Control Board

# Form AB-29: Waiver of Operation Application

# Section 3 – Reason for Non-operation Provide an explanation as to why the licensed premises were not operated: Still waiting on landlords of prospected building (Big Lake Lodge) to complete conditional use permit and complete construction.

# **Section 4 - Certifications**

The following must be completed for establishments located within the boundaries of a local governing body:

Read the line below, and then sign your initials in the box to the right of the statement:

Initials

I certify that I will provide a true copy of this application to the local governing body listed on Page 1 of this form prior to ABC Board consideration of this application.



I hereby certify that I am the person herein named and subscribing to this application and that I have read the complete application, and I know the full content thereof. I declare that all of the information contained herein, and evidence or other documents submitted are true and correct. I understand that any falsification or misrepresentation of any item or response in this application, or any attachment, or documents to support this application, is sufficient grounds for denying or revoking a license/permit. I further understand that it is a Class A misdemeanor under Alaska Statute 11.56.210 to falsify an application and commit the crime of unsworn falsification.



Printed name of licensee

Signature of licensee

Office Use Only					
Waiver Application Fee:	\$2500	Late Fee:	\$1000	Transaction #:	



Alcohol and Marijuana Control Office 550 W 7<sup>th</sup> Avenue, Suite 1600 Anchorage, AK 99501

alcohol.licensing@alaska.gov

https://www.commerce.alaska.gov/web/amco

Phone: 907.269.0350

# Alaska Alcoholic Beverage Control Board

# Form AB-29: Waiver of Operation Application

### Why is this form needed?

This form is the means by which a licensee may request that the Alcoholic Beverage Control (ABC) Board waive the operating requirement of AS 04.11.330(a)(3) or (d). If a recreational site license has not been operated at least once in a calendar year, or if a license of any other type has not been operated for at least 240 hours in each calendar year, then a complete copy of this form and the corresponding fees must be submitted for that calendar year, per 3 AAC 304.170.

This application must be accompanied by a non-refundable waiver application fee of:

- for a 1st request, an amount equal to ½ the applicable biennial license fee; or
- for a 2<sup>nd</sup> or subsequent request, double the amount of the fee paid for the previous waiver application.

The ABC Board will determine whether, through no fault of the licensee or because the premises are under construction, the licensed premises count not be operated for the required time during the calendar year. The ABC Board may impose conditions along with the approval of an application for waiver, and it may deny a third or subsequent application for waiver. If an application for waiver is denied, an application for license renewal for the succeeding license period will be denied by the Board. In addition to the waiver application fee, the applicant must pay a late fee of \$1,000 for an application that is received too late for Board consideration at its meeting before November 30 of the year for which the waiver is requested. Please check AMCO's website for meeting agenda deadlines.

Please note that a licensee must submit a separate completed copy of this form and pay a separate corresponding fee for <u>each license</u> and for <u>each calendar year</u> during which a license was not operated in compliance with AS 04.11.330.

### Section 1 - Establishment Information Enter information for the license that has not been operated for the time required under AS 04.11.330. Licensee: License Number: Claude Sherman/Eugena Marie Weinberger-Caruthers 1034 License Type: Beverage Dispensary DBA: The Aardvark **Premises Address:** Off Premise City: Big Lake State: Alaska ZIP: 99652 **Local Governing Body:** Mat-Su Borough Section 2 – Request Number and Calendar Year 1st Request 2<sup>nd</sup> Request 3<sup>rd</sup> Request Other Request for Calendar Year 2022

[Form AB-29] (rev 3/1/2022)



Alcohol and Marijuana Control Office 550 W 7<sup>th</sup> Avenue, Suite 1600 Anchorage, AK 99501

alcohol.licensing@alaska.gov

https://www.commerce.alaska.gov/web/amco

Phone: 907.269.0350

# Alaska Alcoholic Beverage Control Board

# Form AB-29: Waiver of Operation Application

# **Section 3 - Reason for Non-operation**

Provide an explanation as to why the licensed premises were not operated:

We are endevoring to go to a new location at 15328 W. Big Lake Lodge rd, Big Lake, AK and have been waiting for the owners of the building to complete the neccessary plans to obtain and complete the Conditional Use Permit required by the Mat-Su borough. This is largely due to the age of the building in question, but also due to financial reasons. The owner has delayed the project and projected completion in the summer of 2023. We at the Aardvark are determined to be open again within 2023, whether at the Big Lake Lodge or at another location TBD. In either case, the board will hear from us again soon as we must re-file for transfer.

We at the Aardvark would like to offer apologies to the board for the tardiness of this application, we were unaware of the November 30th deadline.

# **Section 4 - Certifications**

The following must be completed for establishments located within the boundaries of a local governing body:

Read the line below, and then sign your initials in the box to the right of the statement:

Initials

I certify that I will provide a true copy of this application to the local governing body listed on Page 1 of this form prior to ABC Board consideration of this application.



I hereby certify that I am the person herein named and subscribing to this application and that I have read the complete application, and I know the full content thereof. I declare that all of the information contained herein, and evidence or other documents submitted are true and correct. I understand that any falsification or misrepresentation of any item or response in this application, or any attachment, or documents to support this application, is sufficient grounds for denying or revoking a license/permit. I further understand that it is a Class A misdemeanor under Alaska Statute 11.56.210 to falsify an application and commit the crime of unsworn falsification.



Claude Sherman Weinberger-Caruthers

Printed name of licensee

Signature of licensee

DEC 2 3 2022

Office Use Only				
Waiver Application Fee:	Late Fee:	Transaction #:		